

JULY 14/02
U.S. PTOPatent
JC872 U.S. PTO
10/045964
01/14/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: GEORGE)
 Serial No.: Not yet assigned) Examiner: Not yet assigned
 Filing Date: Filed herewith) Group Art Unit: Not yet assigned
 For: METHOD AND APPARATUS FOR) Attorney Docket No.: G08.005
 AGREEMENT NETTING)
)
)
)
)

Box PATENT APPLICATION

Commissioner for Patents
 Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled: **METHOD AND APPARATUS FOR AGREEMENT NETTING**

and invented by: **VINCE GEORGE**

Enclosed are:

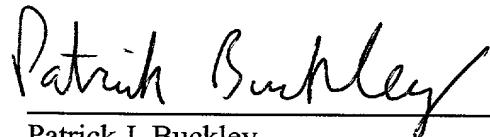
1. Filing Fee as calculated and transmitted as described below
2. Specification having 33 pages and including the following:
 - a. Descriptive Title of the Invention
 - b. Cross references to Related Applications (*if applicable*)
 - c. Statement Regarding Federally-sponsored Research/Development

- FEDERAL TRADE COMMISSION
INVESTIGATIVE DIVISION
- d. Reference to Microfiche Appendix (*if applicable*)
 - e. Background of the Invention
 - f. Brief Summary of the Invention
 - g. Brief Description of the Drawings (*if drawings filed*)
 - h. Detailed Description
 - i. Claim(s) as Classified Below
 - j. Abstract of the Disclosure
3. Drawing(s) (*when necessary as prescribed by 35 USC 113*)
 - a. Formal Number of Sheets 9
 - b. Informal Number of Sheets _____
4. Oath or Declaration
 - a. Newly executed (*original or copy*) Unexecuted
 - b. Copy from a prior application (37 CFR 1.63(d))
 - c. With Power of Attorney Without Power of Attorney
 - d. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the Prior Application,
see 37. CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Assignment Papers (*cover sheet & documents(s)*)
7. 37 CFR 3.73(B) Statement (*when there is an assignee*)
8. English Translation Document (*if applicable*)
9. Information Disclosure Statement/PTO-1449 Copies of IDS Citations
10. Preliminary Amendment
11. Acknowledgement Postcard
12. Mailed Via
 - First Class
 - Express Mail (*Specify Label No.*) **EV017948743US**
13. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
14. Request and Certification under 35 USC 122(b)(2)(B)(i) (*attach PTO/SB/35*)
15. Additional Enclosures: _____

FEE CALCULATION

| For | No. Filed | No. Allowed | No. Extra | Rate | Fee |
|---|-----------|-------------|-----------|----------|------------------|
| Total Claims | 19 | - 20 | 0 | \$ 18.00 | \$0.00 |
| Indep. Claims | 5 | - 3 | 2 | \$ 84.00 | \$ 168.00 |
| Multiple Dependent Claims (If applicable, please add \$ 260.00) | | | | | \$0.00 |
| BASIC FEE | | | | | \$740.00 |
| OTHER FEE (specify purpose): | | | | | \$0.00 |
| TOTAL FILING FEE | | | | | \$ 908.00 |

Respectfully submitted,



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January 14, 2002

Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

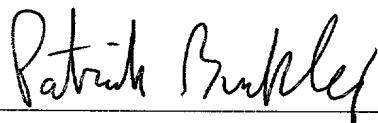
**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

| | |
|----------------------|--|
| First Named Inventor | GEORGE |
| Title | Method and Apparatus for Agreement Netting |
| Atty Docket Number | G08.005 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 14, 2002

Date



Signature

Patrick Buckley (Reg. No. 40,928)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.